**Notice of proposed change pursuant to the Payment, Clearing, and Settlement Act of 2010**

**Section 806(e)(1) * **

**Section 806(e)(2) * **

**Security-Based Swap Submission pursuant to the Securities Exchange Act of 1934**

**Section 3C(b)(2) * **

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**Exhibit 2 Sent As Paper Document**

**Exhibit 3 Sent As Paper Document**

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**Description**

Provide a brief description of the action (limit 250 characters, required when Initial is checked *).

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**Contact Information**

Provide the name, telephone number, and e-mail address of the person on the staff of the self-regulatory organization prepared to respond to questions and comments on the action.

<table>
<thead>
<tr>
<th>First Name *</th>
<th>Jonathan</th>
</tr>
</thead>
<tbody>
<tr>
<td>Last Name *</td>
<td>Cayne</td>
</tr>
<tr>
<td>Title *</td>
<td>Senior Associate General Counsel</td>
</tr>
<tr>
<td>E-mail *</td>
<td><a href="mailto:jonathan.cayne@nasdaqomx.com">jonathan.cayne@nasdaqomx.com</a></td>
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<tr>
<td>Telephone *</td>
<td>(301) 978-8493</td>
</tr>
<tr>
<td>Fax *</td>
<td>(301) 978-8472</td>
</tr>
</tbody>
</table>

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**Signature**

Pursuant to the requirements of the Securities Exchange Act of 1934.

has duly caused this filing to be signed on its behalf by the undersigned thereunto duly authorized.

By [Signature]

**Executive Vice President and General Counsel**

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**Persona Not Validated - 1383935917270**

NOTE: Clicking the button at right will digitally sign and lock this form. A digital signature is as legally binding as a physical signature, and once signed, this form cannot be changed.
**Partial Amendment**

- If the self-regulatory organization is amending only part of the text of a lengthy proposed rule change, it may, with the Commission's permission, file only those portions of the text of the proposed rule change in which changes are being made if the filing (i.e., partial amendment) is clearly understandable on its face. Such partial amendment shall be clearly identified and marked to show deletions and additions.
Partial Amendment No.1 to SR-NASDAQ-2014-035

The NASDAQ Stock Market LLC (“NASDAQ”) is filing this Partial Amendment No. 1 to SR-NASDAQ-2014-035 to make the following changes to the pending 19b-4 and Exhibit 1:

1. In the final sentence of the first full paragraph on page 8 of 56 of the 19b-4, as well as in the final sentence of the second full paragraph on page 34 of 56 of the Exhibit 1, insert the words “exchange-listed” after the word “write” and delete the words “on an exchange or over-the-counter” at the end of the sentence.

2. Move the first full paragraph on page 9 of 56 of the 19b-4 to become the third full paragraph on page 10 of 56 immediately before the Investment Restrictions section, as well as move the first full paragraph on page 35 of 56 of the Exhibit 1 to become the first full paragraph on page 37 of 56 immediately before the Investment Restrictions section.

3. Insert a new sentence at the end of the third full paragraph on page 9 of 56 of the 19b-4, as well as at the end of the first full paragraph on page 36 of 56 of the Exhibit 1, with the following text: “The Fund may purchase and write over-the-counter options.”

4. Replace the first full sentence on page 19 of 56 of the 19b-4, as well as the second full sentence on page 45 of 56 of the Exhibit 1, with the following text: “On a daily basis, the Fund will disclose on the Fund’s website the following information regarding each portfolio holding, as applicable to the type of holding: ticker symbol, CUSIP number or other identifier, if any; a description of the holding (including the type of holding); the identity of the security or other asset or instrument underlying the holding, if any; for options, the option strike price; quantity held (as measured by, for example, par value, notional value or number of shares, contracts or units); maturity date, if any; coupon rate,
if any; effective date, if any; market value of the holding; and the percentage weighting of the holding in the Fund’s portfolio.”

5. In the last sentence on page 20 of 56 and in the second-to-last sentence of the carryover paragraph on top of page 26 of 56 of the 19b-4, as well as in the last sentence of the carryover paragraph that begins on page 46 of 56 and that carries over to page 47 of 56 and in the second-to-last sentence of the carryover paragraph on top of page 53 of 56 of the Exhibit 1, delete the words “and any underlying exchange-traded products” and insert the following as new sentences immediately thereafter as part of the same paragraph: “Quotation and last sale information for any underlying exchange-traded equity will also be available via the quote and trade service of their respective primary exchanges, as well as in accordance with the Unlisted Trading Privileges and the Consolidated Tape Association plans. Quotation and last sale information for any underlying exchange-traded options will also be available via the quote and trade service of their respective primary exchanges and through the Options Price Reporting Authority. Quotation and last sale information for any underlying exchange-traded futures contracts will be available via the quote and trade service of their respective primary exchanges.”

The proposal SR-NASDAQ-2014-035, as amended, remains consistent with the Securities Exchange Act of 1934, and specifically with Section 6(b)(5) because it makes technical and clarifying changes to the filing and does not make any changes that are unique or novel. Partial Amendment 1 specifically clarifies: (i) that structured notes and over-the-counter options are not principal investments; (ii) the Disclosed Portfolio information that will be disclosed on the Fund’s website; (iii) that quotation and last sale information for any underlying
exchange-traded equity will also be available via the quote and trade service of their respective primary exchanges, as well as in accordance with the Unlisted Trading Privileges and the Consolidated Tape Association plans; (iv) that quotation and last sale information for any underlying exchange-traded options will also be available via the quote and trade service of their respective primary exchanges and through the Options Price Reporting Authority; and (v) that quotation and last sale information for any underlying exchange-traded futures contracts will be available via the quote and trade service of their respective primary exchanges.