Notice of proposed change pursuant to the Payment, Clearing, and Settlement Act of 2010

Section 806(e)(1) *
Section 806(e)(2) *

Security-Based Swap Submission pursuant to the Securities Exchange Act of 1934
Section 3C(b)(2) *

Exhibit 2 Sent As Paper Document
Exhibit 3 Sent As Paper Document

Provide a brief description of the action (limit 250 characters, required when Initial is checked *).

Description

Provide the name, telephone number, and e-mail address of the person on the staff of the self-regulatory organization prepared to respond to questions and comments on the action.

First Name * Jonathan
Title * Sr. Associate General Counsel
E-mail * jonathan.cayne@nasdaqomx.com
Telephone * (301) 978-8493

Signature

Pursuant to the requirements of the Securities Exchange Act of 1934,

has duly caused this filing to be signed on its behalf by the undersigned thereunto duly authorized.

Date 05/21/2014
By Edward S. Knight

NOTE: Clicking the button at right will digitally sign and lock this form. A digital signature is as legally binding as a physical signature, and once signed, this form cannot be changed.
If the self-regulatory organization is amending only part of the text of a lengthy proposed rule change, it may, with the Commission’s permission, file only those portions of the text of the proposed rule change in which changes are being made if the filing (i.e. partial amendment) is clearly understandable on its face. Such partial amendment shall be clearly identified and marked to show deletions and additions.
Partial Amendment No.1 to SR-NASDAQ-2014-027

The NASDAQ Stock Market LLC ("NASDAQ") is filing this Partial Amendment No. 1 to SR-NASDAQ-2014-027 to make the following changes to the pending 19b-4 and Exhibit 1:

1. In footnote 12 on page 8 of 60 of the 19b-4, as well as in footnote 12 on page 36 of 60 of the Exhibit 1, delete the words “or non-U.S. exchanges”.

2. In the fifth sentence in the first full paragraph on page 21 of 60 of the 19b-4, as well as in the fifth sentence in the paragraph that begins on page 49 of 60 that carries over to page 50 of 60 of the Exhibit 1, delete the words “or through the Options Price Reporting Authority, as applicable,”.

3. Replace the sixth sentence in the first full paragraph on page 21 of 60 and the sixth sentence of the carryover paragraph at the top of page 28 of 60 of the 19b-4, as well as the sixth sentence in the paragraph that begins on page 49 of 60 that carries over to page 50 of 60 and the sixth sentence of the paragraph that begins on page 56 of 60 and carries over to page 57 of 60 of the Exhibit 1, with the following text: “Quotation and last sale information for any underlying exchange-traded equity will also be available via the quote and trade service of their respective primary exchanges, as well as in accordance with the Unlisted Trading Privileges and the Consolidated Tape Association plans. Quotation and last sale information for any underlying exchange-traded options will also be available via the quote and trade service of their respective primary exchanges and through the Options Price Reporting Authority. Quotation and last sale information for any underlying exchange-traded futures contracts will be available via the quote and trade service of their respective primary exchanges.”
The proposal SR-NASDAQ-2014-027, as amended, remains consistent with the Securities Exchange Act of 1934, and specifically with Section 6(b)(5) because it makes technical and clarifying changes to the filing and does not make any changes that are unique or novel. Partial Amendment 1 specifically clarifies that: (i) quotation and last sale information for any underlying exchange-traded equity will also be available via the quote and trade service of their respective primary exchanges, as well as in accordance with the Unlisted Trading Privileges and the Consolidated Tape Association plans; (ii) quotation and last sale information for any underlying exchange-traded options will also be available via the quote and trade service of their respective primary exchanges and through the Options Price Reporting Authority; and (iii) quotation and last sale information for any underlying exchange-traded futures contracts will be available via the quote and trade service of their respective primary exchanges.