

**PROPOSALS TO CHANGE NASDAQ/ CBOE/NYSE RULES THAT ARE  
INCORPORATED BY REFERENCE IN THE ISE RULES**

ISE RULE	RULE INCORPORATED BY REFERENCE	RULE FILINGS
<b>General 3</b> Membership and Access  <a href="#"><i>SEC Exemptive Order</i></a>	<b>Nasdaq General 3</b> Membership and Access	<a href="#">SR-NASDAQ-2020-079</a> <a href="#">SR-NASDAQ-2020-076</a> <a href="#">SR-NASDAQ-2019-022</a>
<b>General 4</b> Registration Requirements  <a href="#"><i>SEC Exemptive Order</i></a>	<b>Nasdaq General 4 Section 1</b> Registration Requirements	<a href="#">SR-NASDAQ-2020-091</a> <a href="#">SR-NASDAQ-2020-073</a> <a href="#">SR-NASDAQ-2020-042</a>
<b>General 5</b> Discipline  <a href="#"><i>SEC Exemptive Order</i></a>	<b>Nasdaq General 5</b> Discipline	<a href="#">SR-NASDAQ-2020-076</a>
<b>General 7</b> Consolidated Audit Trail Compliance  <a href="#"><i>SEC Exemptive Order</i></a>	<b>Nasdaq General 7</b> Consolidated Audit Trail Compliance	<a href="#">SR-NASDAQ-2020-099</a> <a href="#">SR-NASDAQ-2020-046</a> <a href="#">SR-NASDAQ-2020-035</a>
<b>Options 6C</b> Section 3 Proper and Adequate Margin	<b>CBOE 10.3</b> Margin Requirements	<a href="#">SR-CBOE-2019-069</a> <a href="#">SR-CBOE-2020-068</a> <a href="#">SR-CBOE-2020-090</a> <a href="#">SR-CBOE-2021-006</a>
<b>Options 6C</b> Section 3 Proper and Adequate Margin	<b>NYSE 431</b> Margin Requirements	