

The operative date of the rule change will be **Tuesday, May 28, 2024**, which is the compliance date specified in the amendment to Rule 15c6-1(a) of the Securities and Exchange Act to require standard settlement no later than T+1. (SR-Phlx-2023-49)

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## Nasdaq Phlx LLC Rules

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## Equity Rules

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## Equity 11 Uniform Practice Code

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## Section 6. Ex-dividend, Ex-rights

Transactions in stocks (except those made for “cash”) shall be ex-dividend or ex-rights as the case may be on the [first]same business day [preceding]as the record date fixed by the corporation or the date of the closing of transfer books therefor. Should such record date or such closing of transfer books occur upon a day other than a business day, such transactions shall be ex-dividend or ex-rights on the [second]first preceding business day.

Transactions in stocks made for “cash” shall be ex-dividend or ex-rights on the same business day [following said]as the record date or date of closing of transfer books.

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## Section 7. Ex-warrants

Transactions in securities which have subscription warrants attached (except those made for “cash”) shall be ex-warrants on the [first]same business day preceding the date of expiration of the warrants, except that when the date of expiration occurs on a day other than a business day, said transactions shall be ex-warrants on the [second]first business day preceding said date of expiration.

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