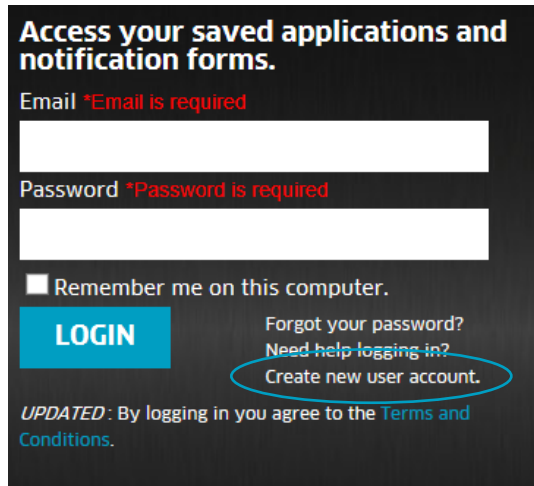


Completing the Company Event Form

Step 1: Create a user account by clicking

If you already have an account, please proceed to **Step 2**.



Access your saved applications and notification forms.

Email *Email is required

Password *Password is required

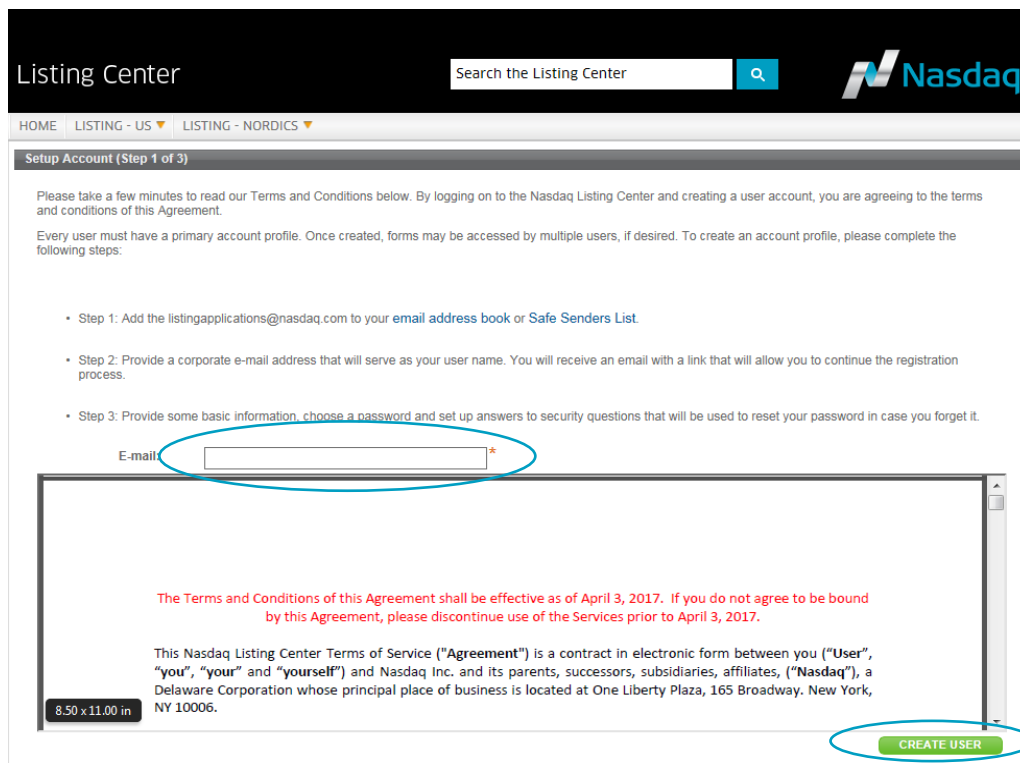
Remember me on this computer.

LOGIN

Forgot your password?
Need help logging in?
Create new user account.

UPDATED: By logging in you agree to the [Terms and Conditions](#).

Enter the email to be associated with the account, review the terms and conditions and click **CREATE USER**



Listing Center

Search the Listing Center

Nasdaq

HOME | LISTING - US | LISTING - NORDICS

Setup Account (Step 1 of 3)

Please take a few minutes to read our Terms and Conditions below. By logging on to the Nasdaq Listing Center and creating a user account, you are agreeing to the terms and conditions of this Agreement.

Every user must have a primary account profile. Once created, forms may be accessed by multiple users, if desired. To create an account profile, please complete the following steps:

- Step 1: Add the listingapplications@nasdaq.com to your email address book or Safe Senders List.
- Step 2: Provide a corporate e-mail address that will serve as your user name. You will receive an email with a link that will allow you to continue the registration process.
- Step 3: Provide some basic information, choose a password and set up answers to security questions that will be used to reset your password in case you forget it.

E-mail

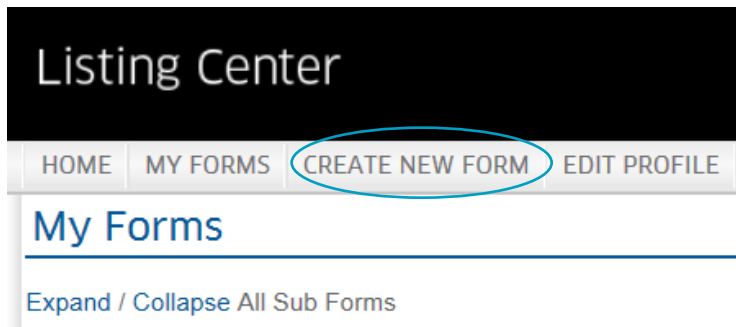
The Terms and Conditions of this Agreement shall be effective as of April 3, 2017. If you do not agree to be bound by this Agreement, please discontinue use of the Services prior to April 3, 2017.

This Nasdaq Listing Center Terms of Service ("Agreement") is a contract in electronic form between you ("User", "you", "your" and "yourself") and Nasdaq Inc. and its parents, successors, subsidiaries, affiliates, ("Nasdaq"), a Delaware Corporation whose principal place of business is located at One Liberty Plaza, 165 Broadway, New York, NY 10006.

CREATE USER

The system will send an email requesting that the email address be validated. Please click the link and follow the steps to complete the registration. A second email will be sent once registration is complete.

Step 2: Once logged into your account, click **CREATE NEW FORM** in the menu.



Step 3: Select Listing Center **U.S. Market: NASDAQ** ▼

Step 4: I am completing a form on behalf of a company

- Currently listed on Nasdaq
- Applying to list on Nasdaq

Step 5: I would like to complete a:

- Annual Fee Billing: U.S. Share Certification Form ⓘ
- Company Logo Submission Form ⓘ
- Company Event Notification Form ⓘ
- Dividend/Distribution/Interest Payment Form ⓘ
- Hearing Request Form ⓘ
- Listing Application ⓘ
- Listing of Additional Shares Notification Form ⓘ
- Rule Interpretation Request Form ⓘ
- Shares Outstanding Change Form ⓘ

Step 6: I would like to complete a Company Event Notification Form for

Current Trading Symbol for Primary Security *

Enter the Trading Symbol for any of the trust's products currently listed on Nasdaq.



Step 7: CUSIP  for Primary Security *

Enter the CUSIP for the symbol above.

Step 8:



Enter the letter code as shown

Step 9: Click 

Step 10: Issuers submitting an Annual ETP Certification only, complete Section 7.

Section 7. Annual ETP Certification (Show All Products)

Please note that if the box is not enabled, the annual certification for that issue is not due. If you believe that this is incorrect, please notify us at ETP_Regulation@Nasdaq.com.

Step 11: Issuers submitting a Quarterly ETP Data only, complete Section 8.

Section 8. Quarterly ETP Data Uploads (Show All Products)

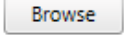

Please note that the issues shown are the ones that have quarterly components due. To view all the products click the Show All Products link.

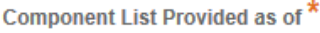

Check the box for the applicable issue(s) 

Step 12: If a component template has not been completed, please click



and complete the template.

Step 13: Click  select the file and then  .

Once you have uploaded the document, the name of the file will appear along with the upload date. Please enter the date of the component data  

Then proceed to **Step 15**.

Step 14: Issuers submitting Quarterly ETP Data and the Annual ETP Certification, complete both sections 7 and 8.

Section 7. Annual ETP Certification (Show All Products)

Section 8. Quarterly ETP Data Uploads (Show All Products)

Step 15: Enter your information

| | |
|-------------|---|
| Name* | <input type="text"/> |
| Title/Firm* | <input type="text"/> |
| Date* | <input type="text"/> |
| Initials* | <input type="text"/> |
| | I have been authorized by the company in my belief, the information provided is true |

Step 15: Click [SUBMIT FORM NOW](#) and your form is complete!

If you want to save the application and submit it later click [SAVE FOR LATER](#)

Once the form has been submitted you will receive an email confirmation that includes the Record ID for your form.