Record Id:

ISSUE-SPECIFIC INFORMATION

ATTACH SUPPORTING DOCUMENTS AND FEE PAYMENT INFORMATION

In addition to a completed Listing Application, prior to approval, Nasdaq will require additional information and supporting documentation. Documentation will vary depending on the type of application you are submitting. Please review our Supporting Documentation Guidelines. These guidelines, presented by application type, list the specific documents Nasdaq will require prior to approval for your specific application type. **Required documentation must be provided prior to approval, but is not required at the time of original submission.**

If the company has submitted a confidential draft registration statement to the SEC in connection with its proposed initial public offering, please attach the most recent copy of this draft registration statement and all related SEC correspondence.

To facilitate the review process, please submit supporting documentation electronically. You can submit additional documentation for your form at any time during the application review process.

Uploading documents for submission is easy:

Select documents using the “Browse” button. To attach multiple documents, hold CTRL key while making the selection. Documents should be provided using one of the following formats: **MS Word, MS Excel or PDF**.

Files already attached

Fee Payment Information

For Applicants seeking to list equity securities only:

- On the Nasdaq Capital Market, a $5,000 non-refundable fee is due at the time of application.
- On the Nasdaq Global or Global Select Markets, a $25,000 non-refundable fee is due at the time of application. The balance of the fee is due prior to the commencement of trading.

Fees may be paid by check or wire transfer. If paying by check, please complete the Check Payment Form and include it along with your payment. When paying by wire, please follow instructions provided here.

Please provide the following information:

Remitter Name (if different than name of the company):

Check or Wire Confirmation Number:

AFFIRMATION

User Id

Name *

Title/Firm *

Date *

Initials *

I have been authorized by the Company and have the legal authority to provide information on the Company's behalf; to the best of my knowledge and belief, the information provided is true and correct as of this date; and I will promptly notify Nasdaq of any material changes. I understand that the Company has a continuing duty to update Nasdaq whenever there is an addition to or change in information previously furnished.

Applicants have a continuing duty to update responses to each of the questions on this application whenever there is an addition to or change in information previously furnished.

Form Created By: on ; Form Last Updated By on , Form Owned By:

* Indicates a field required for submission.

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