

Transfer Application - For companies listed on The Nasdaq Global Market that wish to transfer to The Nasdaq Global Select Market

Record Id:

GENERAL COMPANY INFORMATION

Company Name *

Address of Principal Executive Offices *

Address Line 2

City/Province *

State

Zip/Postal Code *

Country *

Phone *

Fax

Website

State of Incorporation

Country of Incorporation *

Date of Incorporation

SEC File Number

Central Index Key (CIK) Code *

Company Description

Market Choice

An issuer seeking to list on either the Global Select Market or the Global Market will be approved for the market tier with the highest listing standards that the company meets. *

- Nasdaq Global Select Market®
- Nasdaq Global Market®
- Nasdaq Capital Market®

The Nasdaq Group is also able to facilitate a company's listing on stock markets around the globe. An issuer that is applying for listing on The Nasdaq Stock Market may use this application to simultaneously begin the listing process on the OMX Nordic Exchanges and the Nasdaq Dubai. Please check any other markets on which the company is seeking to list: Nasdaq Nordic Exchanges Nasdaq Dubai

Nasdaq will share information with representatives from the OMX Nordic Exchanges and the Nasdaq Dubai, as applicable, to facilitate the company's listing. Representatives of those exchanges will contact the primary contact listed below.

Application Contacts

Please provide at least one contact for the purposes of processing this form. In addition, Nasdaq recommends that you share access to this form with at least one other company or legal representative by entering their email address on our [Share Your Form](#) page.

**Note that the system will immediately send an email to any contacts with whom you have shared this form, even if the form has not yet been submitted.

	Company Contact	Outside Legal Counsel	U.S. Business Hours Contact (if company is located outside of the U.S.)
Contact Name *	<input type="text"/>	<input type="text"/>	<input type="text"/>
Firm Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
Phone *	<input type="text"/>	<input type="text"/>	<input type="text"/>
Email *	<input type="text"/>	<input type="text"/>	<input type="text"/>
Address		<input type="text"/>	
Address Line 2		<input type="text"/>	
City/Province		<input type="text"/>	
State		<input type="text" value="▼"/>	
Postal/Zip Code		<input type="text"/>	
Country		<input type="text" value="▼"/>	
Primary Contact *	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Nasdaq will conduct all communications with respect to the applicant with the designated primary contact.

Billing Information

Contacts

Name *	<input type="text"/>
Title/Firm	<input type="text"/>
Phone *	<input type="text"/>
Email *	<input type="text"/>

Invoices will be sent electronically UNLESS you check this box.

Billing Address

Use address of principal executive offices listed above for billing.

Address *	<input type="text"/>
Address 2	<input type="text"/>
City *	<input type="text"/>
State	<input type="text" value="▼"/>
Province	<input type="text"/>

Postal/Zip Code

Country *

ISSUE-SPECIFIC INFORMATION

Issue-Specific Information

In order for the application to properly store any of the issue information entered in this section, you must enter either a valid cusip number or a reserved symbol below. For corporate bonds, you must enter either a valid CUSIP number or a valid ISIN number.

[Add New Issue](#)

Primary Issue

Current Trading Symbol *

Current Market *

Issue Type *

Issue Class

CUSIP *

Is the Security Book Entry Only

ATTACH SUPPORTING DOCUMENTS

In addition to a completed Listing Application, prior to approval, Nasdaq will require additional information and supporting documentation. Documentation will vary depending on the type of application you are submitting. Please review our [Supporting Documentation Guidelines](#). These guidelines, presented by application type, list the specific documents Nasdaq will require prior to approval for your specific application type. **Required documentation must be provided prior to approval, but is not required at the time of original submission.**

If the company has submitted a confidential draft registration statement to the SEC in connection with its proposed initial public offering, please attach the most recent copy of this draft registration statement and all related SEC correspondence.

To facilitate the review process, please submit supporting documentation electronically. You can submit additional documentation for your form at any time during the application review process.

Uploading documents for submission is easy:

Select documents using the "Browse" button. To attach multiple documents, hold CTRL key while making the selection. Documents should be provided using one of the following formats: **MS Word, MS Excel or PDF.**

Files already attached

AFFIRMATION

User Id

Name *

Title/Firm *

Date *

Initials * I have been authorized by the Company and have the legal authority to provide information on the Company's behalf; to the best of my knowledge and belief, the information provided is true and correct as of this date; and I will promptly notify Nasdaq of any material changes. I understand that the Company has a continuing duty to update Nasdaq whenever there is an addition to or change in information previously furnished.

Applicants have a continuing duty to update responses to each of the questions on this application whenever there is an addition to or change in information previously furnished.

Form Created By: on ; Form Last Updated By on , Form Owned By:

* Indicates a field required for submission.

