

**Nordic Company Event Notification Form**

Certified Adviser Annual Certification

Record Id:

The user may elect to complete this online form all at once or in stages, entering and updating information over a period of time.

**GENERAL COMPANY INFORMATION**

Company Name

Market

**Contacts**

Please provide information for both a primary and an alternative contact for the purpose of processing this form. In addition, Nasdaq recommends that you share access to this form with at least one other representative by entering their email address on our Share Your Form page.

**Company Contact \***

**Additional Contact \***

Name *	<input type="text"/>	<input type="text"/>
Title/Firm	<input type="text"/>	<input type="text"/>
Phone *	<input type="text"/>	<input type="text"/>
Email *	<input type="text"/>	<input type="text"/>
Certified Adviser *	<input type="checkbox"/>	<input type="checkbox"/>

**Listing Venue Information**

On which segment of the exchange does the Company have shares listed? \*

- First North Growth Market  First North Premier Growth Market

In which country are the Company's financial instrument(s) listed? \*

- Finland (operated by Nasdaq Helsinki Oy)  
 Sweden (operated by Nasdaq Stockholm AB)  
 Iceland (operated by Nasdaq Iceland HF.) \*\*  
 Denmark (operated by Nasdaq Copenhagen A/S)

\*\*Does not have the First North Growth Market Status.

**Type of Change**

The information required by the Exchange varies depending on the type of event being reported.

Please select one event. \*

**Section 1. Certified Adviser Annual Certification**

Certified Advisers must complete an Annual Certification for each First North Growth Market company for which it acts as Certified Adviser. This form can be completed using the button below.

Certified Adviser Annual Certification

**Section 2. Stock Split or Reverse Stock Split**

Issue Symbol/Short Name

Current ISIN

Last Day of Trading with Current ISIN

New ISIN

First Day of Trading with NEW ISIN

Stock Split  Reverse Split

Split Ratio

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Please provide any explanatory comments that may help Staff process this form.

### **Other Supporting Documentation**

To facilitate the review process, please attach any other supporting documents electronically, including the Final Terms. Documents should be provided using one of the following formats: MS Word, MS Excel, CSV or PDF.

Uploading documents is easy:

Select document using the "Browse" button.

### **AFFIRMATION**

User Id

Name \*

Title/Firm \*

Date \*

Initials \*

I have been authorized by the company and have the legal authority to provide information on the company's behalf; to the best of my knowledge and belief, the information provided is true and correct as of this date; and I will promptly notify Nasdaq of any material changes.

Form Created By: on ; Form Last Updated By on , Form Owned By:

\* Indicates a field required for submission.

**Annual Certification for Certified Advisers**

Annual Certification for Certified Advisers Record Id:

For the purposes of completing this form, marketplace may refer to a regulated market, a multilateral trading facility or any other type of listing or trading venue.

In order to submit this sub-form, you must first submit the First North Growth Market Application. Note that all fields in this form must be completed and are required prior to submission.

**GENERAL INFORMATION**

Company Name \*

Certified Adviser Contact \*

    Name \*

    Phone \*

    Mobile Phone \*

    Email \*

**ISSUER**

A. Has the company made material changes to its corporate structure, business model, or field of operations in the last 12 months? Select  
 If yes, please comment.

B. Has the company been subject to any public or private regulatory sanctions, court decisions, or other forms of reprimand relating to a breach of rules, laws and/or regulations concerning the disclosure of information to the market, market abuse, or other relevant rules that govern listing or trading on marketplaces? Select  
 If yes, please provide details.

By checking this box, the Certified Adviser hereby confirms that it has taken all reasonable measures to inform itself of the history of the company and the company's admission to trading of financial instruments and that it can, with reasonable certainty confirm the suitability of the company for continued listing on First North Growth Market.

By checking this box, the Certified Adviser hereby confirms that it has made its best efforts to investigate that the Issuer's application for admission to trading and the subsequent trading itself does not fall under or violate any EU, UK, US [or other applicable] sanctions measures, including but not limited to (i) Council Regulation (EU) No 833/2014 of 31 July 2014, as amended, concerning restrictive measures in view of Russia's actions destabilising the situation in Ukraine (the "Regulation"), and in particular Articles 3a, 5.1, 5.2, 5.3, 5.4, 5.5, 5a of the Regulation, Article 2 of Council Regulation (EU) No 269/2014 of 17 March 2014 setting out asset freeze measures in relation to Russia, as amended, as well as (ii) The Russia (Sanctions) (EU Exit) Regulations 2019, in particular Regulations 11 to 19A; and (iii) US sanctions prohibitions relating to Russia, in particular Executive Orders 13662, 14024, 14065, 14066, 14068, 14071.

## CAPACITY FOR PROVIDING INFORMATION TO THE MARKET AND GOVERNANCE

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A.1 Please describe the company's capacity for providing information to the market (Please take into account the company's real capacity for providing information to the market during the last year: press releases, any breaches to the rule book, ongoing investigations etc):

A.2 Does the company's website fulfill the requirements set out in Nasdaq First North Growth Market Rulebook? \*:

Select

Please Comment.

B. Please describe any material agreements, transactions, and other relevant engagements with affiliated parties.

C. Please describe any changes in the composition of the company's Board of Directors and relevant observations.

By checking this box, the Certified Adviser hereby confirms that it has taken all reasonable measures to assess the suitability of the Board of Directors of the company. The assessment has considered the ownership structure of the company, the occurrence of engagement with affiliated parties and the scale, nature, and complexity of the company's organization and operations.

D. Please describe any changes in the composition of the company's Executive Management and relevant observations.

E. Please describe any outsourcing arrangements of relevant Executive Management functions and the Certified Adviser's assessment of such arrangements with regards to the company's suitability as a listed company.

By checking this box, the Certified Adviser hereby confirms that it has taken all reasonable measures to assess the suitability of the Executive Management of the company.

By checking this box, the Certified Adviser hereby confirms that it considers that the company possesses the organization and staff required to comply with the requirement regarding disclosure of information to the market, and that procedures are adequate for the company.

## FINANCIAL POSITION AND HISTORY

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A. Does the company have sufficient working capital to be able to conduct the planned business for the coming 12 months?

Select

If no, please describe how long the existing working capital will last and how the company intends to finance its operations for the coming 12 months.

Please Comment

By checking this box, the Certified Adviser hereby confirms it has taken all reasonable measures to inform itself about the company's financial position and about the future capital needs and risks related to the businesses of the company, with respect to the requirements of the Nasdaq First North Growth Market Rulebook, and that it considers it to have been reasonably and sufficiently presented by the company to its investors.

## FINANCIAL REPORTING

A. Does the company have significant earnings that are not generating cash flow? Select

If yes, does the Certified Adviser assess that reasonable measures have been taken to ensure the accuracy of reported earnings and that there is sufficient transparency for investors to reasonably assess the value of the instruments? Select

Please Comment.

By checking this box, the Certified Adviser hereby confirms that it has taken reasonable measures to inform itself of the company's financial reporting and the accounting standards applied. The Certified Adviser confirms that, in the assessment of the Certified Adviser, the accounting standards of the company are adequate for the company, after the admission of the financial instruments to trading, and that the financial reporting gives an accurate and reasonably transparent view of the financial performance of the company.

## LIQUIDITY

For the purposes of completing this form, persons that are not included in free float are:

- Persons who directly or indirectly owns more than 10 percent of the company's shares or voting rights;
- All holdings by natural or legal persons that are closely affiliated or are otherwise expected to employ concerted practices in respect of the company holdings of members of the board and the executive management of the company, as well as any closely affiliated legal entities such as pension funds operated by the company itself

Qualified shareholders = holdings representing 500 EUR or more.

A. Please state the Percentage of Free Float

B. Please state the number of qualified shareholders

C. Please state the price of the instrument

Currency Select

Estimated Price

D. Is a portion of the instruments included in the Free Float figures provided above or held by qualified holders not be available for trading and settlement on First North Growth Market (e.g. because share holdings are registered in a securities depository which does not support trading on First North Growth Market)? Select

If yes, please comment.

E. Will a Liquidity Provider or Market Maker be appointed? Select

If yes, provide the name (s) of the Liquidity Provider or Market Maker?

By checking this box, the Certified Adviser hereby confirms that it has taken all reasonable measures to assess the conditions for sufficient supply and demand, with respect to the requirements of the Nasdaq First North Growth Market Rulebook, and that it considers that the requirements are fulfilled.

## OTHER

A. Please state any information that has not been included in other parts of this certification form and that the Certified Adviser considers to be relevant for Nasdaq's assessment of the suitability of the company or the instruments admitted to trading.

By checking this box, the Certified Adviser confirms the suitability of the company for continued listing on First North Growth Market.

## AFFIRMATION

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### Certified Adviser Affirmation

Name

Title/Firm

Date

I am the person indicated above with legal authority to provide information on behalf of the Company's Certified Adviser, and I hereby confirm that the Company has stated that all information provided here and in any supporting documents is accurate and gives a true and accurate view of the issuer and the instruments.

Initial Here

The Certified Adviser also confirms that it has taken reasonable measures to satisfy itself that the information provided by the issuer and that has been submitted here and in other relevant documents is accurate and gives a true and accurate view of the issuer and the instruments.

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