

Hearing Request Form 

Record Id:

The company should use this form to make both its original hearing request and provide any additional documentation related to this matter, including company submissions, updates to the Hearing Panel or extension requests.

Questions concerning this form should be directed to the Hearings Department at 1 301 978 8203 or via email at hearings@nasdaq.com.

GENERAL COMPANY INFORMATION


Company Name *

Address of Principal Executive Offices *

Address 2


Address 3


City *

State 

Province

Postal/Zip Code

Country * 


Current Company Status * 

Central Index Key (CIK) Code

Please provide any explanatory comments that may help Staff process this form.

Primary Contact

The person listed below will serve as the primary point of contact for this hearing. Nasdaq also recommends that you share access to this form with at least one other company or legal representative by entering their email address on our Share Your Form page.

Contact Type * 

Use same address as listed above

Name *


Title/Firm *

Address *

Address 2

Address 3

City *

State 

Province

Postal/Zip Code

Country *

Phone *

Email *

ATTACH SUPPORTING DOCUMENTS AND FEE PAYMENT INFORMATION

To facilitate the review process, please attach any supporting documents electronically, including confirmation of payment. The Listing Center will allow you to attach documents and submit them to Nasdaq at any time during the review process. **Documents should be provided using one of the following formats: PDF (Preferred), and MS Word.**

Click the "Browse" button below to upload documents from your computer.

<input type="text"/>	Browse...	<input type="text" value="▼"/>
<input type="text"/>	Browse...	<input type="text" value="▼"/>
<input type="text"/>	Browse...	<input type="text" value="▼"/>

Fee Payment Information

Listing Rule 5815(a) requires the issuer to submit a fee of **\$10,000** to cover the costs of the hearing. The fee is non-refundable and due at the time the request is made.

Fees may be paid by check or wire transfer. If paying by check, please complete the [Check Payment Form](#) and include it along with your payment. For wire payments, please follow these instructions.

Whether paying by check or wire, please provide the following information, as applicable:

Hearing Request Type *

Remitter Name (if different than name of the company)

Check or Wire Confirmation Number *

AFFIRMATION

User Id

Name *

Title/Firm *

Date *

Initials * I have been authorized by the company and have the legal authority to provide information on the company's behalf; to the best of my knowledge and belief, the information provided is true and correct as of this date; and I will promptly notify Nasdaq of any material changes.

Form Created By: on ; Form Last Updated By on , Form Owned By:

* Indicates a field required for submission.