

Rule Interpretation Request Form

Record Id:

GENERAL COMPANY INFORMATION

Company Name *

Central Index Key (CIK) Code * Or CUSIP of the Trading Issue *

Desired Response Date *

REQUESTOR INFORMATION

Please provide a contact for the purposes of processing this request.

First Name * Last Name *

Title/Firm *

Address *

Address 2

Address 3

City *

State

Province

Postal/Zip Code

Country *

Phone *

Email *

ATTACH SUPPORTING DOCUMENTS

To facilitate the review process, please submit supporting documentation electronically. You can submit additional documentation for your form at any time during the review process.

Uploading documents is easy:

Select document using the "Browse" button. To attach multiple documents, hold CTRL key while making the selection. Documents should be provided using one of the following formats: **MS Word, MS Excel or PDF.**

AFFIRMATION

User Id

Name *

Title/Firm *

Date *

Initials * I have been authorized by the company and have the legal authority to provide information on the company's behalf; to the best of my knowledge and belief, the information provided is true and correct as of this date; and I will promptly notify Nasdaq of any material changes.

Form Created By: on ; Form Last Updated By on , Form Owned By:

* Indicates a field required for submission.

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